

**WOLVERHAMPTON CCG**

**Governing Body – Tuesday 7<sup>th</sup> November 2016**

**Agenda item 7**

<b>Title of Report:</b>	<b>Update on actions from Governing Body Risk Management Workshop on 27th September 2016</b>
<b>Report of:</b>	Manjeet Garcha Executive Director of Nursing and Quality
<b>Contact:</b>	Manjeet.garcha@nhs.net
<b>(add board/ committee) Action Required:</b>	<input checked="" type="checkbox"/> <b>Decision</b> <input checked="" type="checkbox"/> <b>Assurance</b>
<b>Purpose of Report:</b>	To update the Governing Body of actions agreed and how this is being progressed
<b>Public or Private:</b>	This Report is intended for the public domain
<b>Relevance to CCG Priority:</b>	CCG is committed to ensuring the highest of Quality for all services commissioned.
<b>Relevance to Board Assurance Framework (BAF):</b>	Delivery of commitments and improved outcomes; a key focus of assurance of how well the CCG delivers improved services, maintains and improves clinical quality and ensures better outcomes for patients.
<b>Domain 2b: Quality</b>	

## 1. BACKGROUND AND CURRENT SITUATION

The current CCGs internal assurance framework sets out the business critical factors for the CCG to deliver its essential functions, and in turn allows the CCG to identify any risks that may impact on its ability to deliver the national requirements. It is based upon the national Assurance Framework and associated key lines of enquiry, combined with local priorities for the CCG relating to quality and transformation.

The National Assurance Framework changes each year and for the 16/17 a new 'CCG Improvement and Assessment' regime has been published.

As part of the CCGs audit requirements Pricewaterhouse Coopers LLP are engaged in auditing our current systems and processes. Several planning discussions were held between July and September and, as a result a Governing Body Risk Management Workshop was delivered on 27<sup>th</sup> September 2016.

## 2. ACTIONS AGREED AND PLAN FOR PROGRESSION

No.	Action	Lead to action	Timeframe to be completed by
1	A PwC facilitated away time to refresh the key risks for the CCG BAF, BAF format and risk alignment to CCG sub board committees.	Execs/PwC Joanna Watson	Recommendation is Nov 22 <sup>nd</sup> or 24 <sup>th</sup> January (there is no session planned for December)
	The current BAF format to be reviewed and a draft presentation to Executive Group followed by Governing Body	Quality and Risk Team Executive/Nonexec Team Manjeet Garcha	January 2017
3	PwC to conduct meetings with individual GB members to review individual risk management maturity and identify key areas of further development	Joanna Watson	Final report end of November
4	PwC internal audit work on risk management to consider ownership of risks at local level, identify any learning opportunities and review of randomly selected risks and use of Datix	Abigail Vincent PwC	Completed 5 <sup>th</sup> October 2016



5	PwC to undertake a series of meeting observations planned for Senior Management Team & Governing Body	Joanna Watson	1 <sup>st</sup> November SMT 8 <sup>th</sup> November GB
6	PwC to meet with CCG to sign off report	Joanna Watson Manjeet Garcha Claire Skidmore	9 <sup>th</sup> November
7	PwC report for Audit and Governance Committee	Joanna Watson	15 <sup>th</sup> November
8	PwC report and action plan to Governing Body	M Garcha	January 2017

### 3. SUMMARY

The action plan is being progressed and will be completed by 13<sup>th</sup> December.

### 4. RECOMMENDATIONS

The Governing Body are requested to

- 4.1 **Receive and take assurance that the Action Plan is being progressed.**
- 4.2 **Agree the date for the dedicated Governing Body time out session facilitated by PwC (recommend 22<sup>nd</sup> November 2016).**
- 4.3 **Receive an update on progress on action plan December 2013**
- 4.4 **Receive copy of PwC Risk Management Review Report at Governing Body meeting in January 2017**

**Name:** Manjeet Garcha  
**Job Title:** Director of Nursing and Quality  
**Date:** 27<sup>th</sup> October 2016



## REPORT SIGN-OFF CHECKLIST

This section must be completed before the report is submitted to the Admin team. If any of these steps are not applicable please indicate, do not leave blank.

	Details/ Name	Date
Clinical View		
Public/ Patient View		
Finance Implications discussed with Finance Team		
Quality Implications discussed with Quality and Risk Team	MGarcha	21/10/16
Medicines Management Implications discussed with Medicines Management team		
Equality Implications discussed with CSU Equality and Inclusion Service		
Information Governance implications discussed with IG Support Officer		
Legal/Policy implications discussed with Corporate Operations Manager		
<b>Signed off by Report Owner (Must be completed)</b> <b>(V2.0 final)</b>	MGarcha	27/10/16

